

OCTOBER 21, 2002

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DORSEY & WHITNEY LLP ROBIN M. SILVA FOUR EMBARCADERO CENTER, STE. 3400 SAN FRANCISCO, CA 94111-4187 Under Secretary of Commerce For Intellectual Property and Director of the United States Patent and Trademark Office Washington, DC 20231 www.uspto.gov



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UNITED STATES PATENT AND TRADEMARK OFFICE NOTICE OF RECORDATION OF ASSIGNMENT DOCUMENT

THE ENCLOSED DOCUMENT HAS BEEN RECORDED BY THE ASSIGNMENT DIVISION OF THE U.S. PATENT AND TRADEMARK OFFICE. A COMPLETE MICROFILM COPY IS AVAILABLE AT THE ASSIGNMENT SEARCH ROOM ON THE REEL AND FRAME NUMBER REFERENCED BELOW.

PLEASE REVIEW ALL INFORMATION CONTAINED ON THIS NOTICE. THE INFORMATION CONTAINED ON THIS RECORDATION NOTICE REFLECTS THE DATA PRESENT IN THE PATENT AND TRADEMARK ASSIGNMENT SYSTEM. IF YOU SHOULD FIND ANY ERRORS OR HAVE QUESTIONS CONCERNING THIS NOTICE, YOU MAY CONTACT THE EMPLOYEE WHOSE NAME APPEARS ON THIS NOTICE AT 703-308-9723. PLEASE SEND REQUEST FOR CORRECTION TO: U.S. PATENT AND TRADEMARK OFFICE, ASSIGNMENT DIVISION, BOX ASSIGNMENTS, CG-4, 1213 JEFFERSON DAVIS HWY, SUITE 320, WASHINGTON, D.C. 20231.

RECORDATION DATE: 08/13/2002

REEL/FRAME: 013182/0311

NUMBER OF PAGES: 3

BRIEF: ASSIGNMENT OF ASSIGNOR'S INTEREST (SEE DOCUMENT FOR DETAILS).

ASSIGNOR:

HORWITZ, DAVID A.

DOC DATE: 07/08/2002

ASSIGNEE:

SOUTHERN CALIFORNIA, UNIVERSITY OF 3716 SOUTH HOPE STREET LOS ANGELES, CALIFORNIA 90007-4344

SERIAL NUMBER: 09833526

PATENT NUMBER:

FILING DATE: 04/11/2001

ISSUE DATE:

SHAREILL COLES, EXAMINER ASSIGNMENT DIVISION OFFICE OF PUBLIC RECORDS



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Docket No.469443-00013[A68983-1/RFT/RMS/RMK]

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## RECORDATION COVER SHEET

U.S. DEPARTMENT OF COMMERCE Patent and Trademark Office

OM	IB No. 0651-0011 (exp. 4/94)	PATENTS (	DNL	<b>.</b> Y				
To the Honorable Commissioner of Patents and Trademarks: Please record the attached original documents or copy thereof.								
1.			2.	Name and addre	ess of receiv	ving party(ies)		
Α	additional name(s) of conveying party(les) attached?   Yes  No			Name: University of Southern California				
Nature of conveyance:				Street Address: 3716 South Hope Street				
	Assignment			City: Los Ange		State: <u>CA</u>		
	☐ Security Agreement ☐	surity Agreement   Change of Name		ZIP: 90007-434	<u>4</u>	·		
	Other			Country: <u>USA</u>				
****	Execution Date:			Additional name(s) & address(es) attached ☐ Yes ■ No				
4.	Application number(s) or part	ent number(s):						
	If this document is h	eing filed together with a new app	licatio	n the evecution date o	of the annlicatio	an ke		
Δ		09/833,526		Patent No.(s)	or the apprease	***		
Λ.	Tatent Application No.(s)							
Additional numbers attac				? 🗌 Yes 📕 No				
5.	Name and address of party to whom correspondence concerning document should be mailed:  Name: Robin M. Silva  Internal Address: DORSEY & WHITNEY LLP			Total Number of	applications	and patents involved:		
				Total fee (37 CFI	R 3.41) <u>_</u>	1_x \$40\$ <u>40</u>		
				<ul><li>Enclosed</li><li>Authorized to be charged to deposit account</li></ul>				
	Street Address: Four Embarcadero Center, Ste. 3400		8.	8. Deposit Account number: 50-2319				
	City: San Francisco State	<u>CA</u> ZIP <u>94111-4187</u>		(Attach duplicate copy	of this page if	paying by deposit account)		
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9.	Statement and signature.			/)				
	To the best of my knowledge true copy of the original docu	nation is true and o	correct, and	any attached copy is a				
<u>File</u>	nee M. Kosslak, Reg No. 47,7 d under 37 C.F.R. § 1.34(a)	( Sull )	1	Losslot		8/8/02		
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## ASSIGNMENT

WHEREAS, the undersigned David A. Horwitz, Santa Monica, California; has invented certain new and useful improvements in and have filed an application for a United States patent entitled: A METHOD TO PREVENT GRAFT REFECTION USING TGF- $\beta$  TO INDUCE T SUPPRESSOR CELLS bearing Serial No. 09/833,526, filed April 11, 2001, disclosing and identifying the invention; and

WHEREAS, University of Southern California, a corporation of the state of CALIFORNIA, having a place of business at 3716 South Hope Street. Suite 313, Los Angeles, 90007-4344, State of CALIFORNIA, hereinafter termed "Assignee"), is desirous of acquiring the entire right, title and interest in and to said application and the invention disclosed therein, and in and to all embodiments of the invention, heretofore conceived, made or discovered jointly or severally by said Inventor (all collectively hereinafter termed "said invention"), and in and to any and all patents, inventor's certificates and other forms of protection (hereinafter termed "patents") thereon granted in the United States and foreign countries.

NOW, THEREFORE, in consideration of good and valuable consideration acknowledged by said Inventors to have been received in full from said Assignee:

- 1. Said Inventors do hereby sell, assign, transfer and convey unto said Assignee, the entire right, title and interest (a) in and to said application and said invention; (b) in and to all rights to apply for foreign patents on said invention pursuant to the International Convention for the Protection of Industrial Property or otherwise; (c) in and to any and all applications filed and any and all patents granted on said invention in the United States or any foreign country, including each and every application filed and each and every patent granted on any application which is a division, substitution, or continuation of any of said applications; and (d) in and to each and every reissue or extensions of any of said patents.
- 2. Said Inventors hereby covenant and agree to cooperate with said Assignee to enable said Assignee to enjoy to the fullest extent the right title and interest herein conveyed in the United States and foreign countries. Such cooperation by said Inventor shall include prompt production of pertinent facts and documents, giving of testimony, execution of petitions, oaths, specifications, declarations or other papers, and other assistance all to the extent deemed necessary or desirable by said Assignee (a) for perfecting in said Assignee the right, title and interest herein conveyed; (b) for prosecuting any of said applications; (c) for filing and prosecuting substitute, divisional, continuing or additional applications covering said invention; (d) for filing and prosecuting

applications for reissuance of any said patents; (e) for interference or other priority proceedings involving said invention; and (f) for legal proceedings involving said invention and any applications therefor and any patents granted thereon, including without limitation opposition proceedings, cancellation proceedings, priority contests, public use proceedings, infringement actions and court actions; provided, however, that the expense incurred by said Inventor in providing such cooperation shall be paid for by said Assignee.

- 3. The terms and covenants of this assignment shall inure to the benefit of said Assignee, its successors, assigns and other legal representatives, and shall be binding upon said Inventors, their heirs, legal representatives and assigns.
- 4. Said Inventors hereby warrant and represent that they have not entered and will not enter into any assignment, contract, or understanding in conflict herewith.

IN WITNESS WHEREOf, the said Inventor has executed and delivered this instrument to said Assignee this

(1) grad day of galy , 2002 respectively.

David A. Herwitz

State of California

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On this 8 day of July , in the year 1001, before me, OLIVIA 1. MonTES , Notary Public of the State of CALIFORNIA, personally appeared (1) David A. Horwitz, personally known to me (or proved to me on the basis of satisfactory evidence) to be the person whose name is subscribed to the within instrument, and acknowledged that he/she executed the same in his/her authorized capacit(ies), and that by his/her signature on the instrument the person, or the entity upon behalf of which the person acted, executed the instrument.

WITNESS my hand and official seal.

Signature

Odivia J. Troples

(Seal)

